

Business Continuity Planning Statement

Investment Advisory Professionals, LLC has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us

If after a significant business disruption you cannot contact us as you usually do at 561-391-4477 or by email at art@iapllc.com, you should call our alternative numbers 561-289-3540, 561-386-7475, or 561-655-0062 visit our website at www.iapllc.com or try to email us at artcanter@earthlink.net.

Our Business Continuity Plan

We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our members and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our clients to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with clients, members, and regulators; alternate physical location of employees; critical supplier impact; regulatory reporting; and assuring our clients prompt access to their funds and securities if we are unable to continue our business.

We back up our most important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, it is our objective to restore operations and be able to complete existing transactions and accept new transactions as soon as possible. Your requests for funds and securities could be delayed during this period.

Varying Disruptions

Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe.

In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business promptly. In a disruption affecting our business district, city, or region, we will operate

on contingency plans and resume business as soon as possible. In either situation, we plan to continue in business, transfer operations, if necessary, and notify you through our website www.iapllic.com or our emergency number, 561-289-3540 to contact us.

Since almost all of our clients' funds and securities are custodied at Charles Schwab & Co. clients may obtain access to those funds and securities by calling 800-515-2157 or by visiting a local Schwab office.

If you have any questions about our business continuity planning, or require additional information, please contact us at 561-391-4477.